

**Before the
Federal Communications Commission
Washington, D.C. 20554**

In the Matter of)	File Number EB-03-TP-185
)	
Ward F. Dean)	NAL/Acct. No.200332700027
8799 Burning Tree Rd.)	
Pensacola, Florida 32514)	FRN 0009232976

NOTICE OF APPARENT LIABILITY FOR FORFEITURE

Released: July 16, 2003

By the Enforcement Bureau, Tampa Office:

I. INTRODUCTION

1. In this Notice of Apparent Liability for Forfeiture (“NAL”), we find Ward F. Dean apparently liable for forfeiture in the amount of seventeen thousand dollars (\$17,000) for willful violation of Sections 301 and 303(n) of the Communications Act of 1934, as amended (“Act”).¹ Specifically, we find Ward F. Dean apparently liable for the operation of an FM broadcast station on the frequency 103.7 MHz without Commission authorization and for failure to allow an inspection of his radio station by Commission agents.

II. BACKGROUND

2. On April 6, 2003, agents from the FCC Enforcement Bureau’s Tampa Field Office (“Tampa Office”), while working in Pensacola, Florida, monitored a radio station on 103.7 MHz. A check of FCC license records revealed no record of a licensed station in the Pensacola area authorized to operate on 103.7 MHz. Using direction-finding techniques, the agents determined that the radio station broadcasting on the frequency 103.7 MHz operated from a single-family residence at 8799 Burning Tree Rd., Pensacola, Florida. County property records showed the owner of the property at 8799 Burning Tree Rd., Pensacola Florida, as Ward and Kumja C. Dean. An FM broadcast-type antenna was mounted on a tower attached to the side of the residence. Based on field strength measurements taken by the agents of the station’s signal, a Commission authorization was required to operate the station.² Immediately thereafter, the agents knocked on the front door of the residence and an adult male responded at the front door. The man identified himself by a State of Florida picture identification, concealed weapon permit, as Ward F. Dean of the same address. Dean neither acknowledged nor denied operating the radio station and twice refused the agents’ request to inspect the radio station at the residence. The agents warned Dean of the unlicensed operation. Shortly after departing, the agents observed the unlicensed radio station at Dean’s residence no longer operating.

¹ 47 U.S.C. §§ 301 and 303(n).

² See 47 C.F.R. § 15.239(b).

III. DISCUSSION

3. Section 301 of the Act sets forth generally that no person shall use or operate any apparatus for the transmission of energy of communications or signals by radio within the United States except under and in accordance with the Act and with a license. Section 303 (n) of the Act sets forth that the Commission has the authority to inspect all radio installations associated with stations required to be licensed. On April 6, 2003, Ward F. Dean operated radio transmitting equipment on the frequency 103.7 MHz without benefit of the required Commission authorization and failed to allow Commission agents to conduct an inspection of the radio station upon official requests.

4. Based on the evidence before us, we find that on April 6, 2003, Ward F. Dean willfully³ violated Sections 301 and 303(n) of the Act by operating radio transmission apparatus without a license and by refusing to allow inspection of his radio station.

5. Pursuant to Section 1.80(b)(4) of the Rules,⁴ the base forfeiture amount for operating a radio station without a Commission authorization is \$10,000, and for failure to permit inspection is \$7,000. In assessing the monetary forfeiture amount, we must also take into account the statutory factors set forth in Section 503(b)(2)(D) of the Act, which include the nature, circumstances, extent, and gravity of the violation, and with respect to the violator, the degree of culpability, any history of prior offenses, ability to pay, and other such matters as justice may require.⁵ Considering the entire record and applying the factors listed above, this case warrants a \$17,000 forfeiture.

IV. ORDERING CLAUSES

6. Accordingly, IT IS ORDERED THAT, pursuant to Section 503(b) of the Act,⁶ and Sections 0.111, 0.311 and 1.80 of the Rules,⁷ Ward F. Dean is hereby NOTIFIED of this APPARENT LIABILITY FOR A FORFEITURE in the amount of seventeen thousand dollars (\$17,000) for willful violation of Sections 301 and 303(n) of the Act by operating a radio station without Commission authorization and by failure to allow an inspection of his radio station.

7. IT IS FURTHER ORDERED THAT, pursuant to Section 1.80 of the Rules, within thirty days of the release date of this NAL, Ward F. Dean SHALL PAY the full amount of the proposed forfeiture or SHALL FILE a written statement seeking reduction or cancellation of the proposed forfeiture.

8. Payment of the forfeiture may be made by mailing a check or similar instrument, payable to the

³ Section 312(f)(1) of the Act, 47 U.S.C. § 312(f)(1), which applies equally to Section 503(b) of the Act, provides that “[t]he term ‘willful,’ when used with reference to the commission or omission of any act, means the conscious and deliberate commission or omission of such act, irrespective of any intent to violate any provision of this Act....” See *Southern California Broadcasting Co.*, 6 FCC Rcd 4387 (1991).

⁴ 47 C.F.R. § 1.80(b)(4).

⁵ 47 U.S.C. § 503(b)(2)(D).

⁶ 47 U.S.C. § 503(b).

⁷ 47 C.F.R. §§ 0.111, 0.311, 1.80.

order of the Federal Communications Commission, to the Forfeiture Collection Section, Finance Branch, Federal Communications Commission, P.O. Box 73482, Chicago, Illinois 60673-7482. The payment should note the NAL/Acct. No. and FRN referenced above. Requests for payment of the full amount of this NAL under an installment plan should be sent to: Chief, Revenue and Receivables Operations Group, 445 12th Street, S.W., Washington, D.C. 20554.⁸

9. The response, if any, must be mailed to Federal Communications Commission, Office of the Secretary, 445 12th Street SW, Washington DC 20554, Attn: Enforcement Bureau-Technical & Public Safety Division and MUST INCLUDE THE NAL/Acct. No. referenced above.

10. The Commission will not consider reducing or canceling a forfeiture in response to a claim of inability to pay unless the petitioner submits: (1) federal tax returns for the most recent three-year period; (2) financial statements prepared according to generally accepted accounting practices (“GAAP”); or (3) some other reliable and objective documentation that accurately reflects the petitioner’s current financial status. Any claim of inability to pay must specifically identify the basis for the claim by reference to the financial documentation submitted.

11. Under the Small Business Paperwork Relief Act of 2002, Pub L. No. 107-198, 116 Stat. 729 (June 28, 2002), the FCC is engaged in a two-year tracking process regarding the size of entities involved in forfeitures. If you qualify as a small entity and if you wish to be treated as a small entity for tracking purposes, please so certify to us within thirty (30) days of this NAL, either in your response to the NAL or in a separate filing to be sent to the Technical & Public Safety Division. Your certification should indicate whether you, including your parent entity and its subsidiaries, meet one of the definitions set forth in the list provided by the FCC’s Office of Communications Business Opportunities (OCBO) set forth in Attachment A of this Notice of Apparent Liability. This information will be used for tracking purposes only. Your response or failure to respond to this question will have no effect on your rights and responsibilities pursuant to Section 503(b) of the Communications Act. If you have questions regarding any of the information contained in Attachment A, please contact OCBO at (202) 418-0990.

12. IT IS FURTHER ORDERED THAT a copy of this NAL shall be sent by regular mail and Certified Mail Return Receipt Requested to Ward F. Dean, 8799 Burning Tree Rd., Pensacola Florida 32514.

FEDERAL COMMUNICATIONS COMMISSION

Ralph M. Barlow
District Director
Tampa Field Office, Enforcement Bureau

Attachment

⁸ See 47 C.F.R. § 1.1914.